

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. GB 010043		Serial No. 10/099680	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant Frank W. ROHLFING			
				Filing Date 03/15/02 CONCURRENTLY		Group 2813	

JCE79 U.S. PRO
 10/099680
 03/15/02

U.S. PATENT DOCUMENTS																
Ex. Int.		Document Number								Date	Name	Class	Sub-class	Filing Date If Approp.		
Lib	AA	5	6	1	2	2	3	4	3/18/97	HA	437	40		/		
Lib	AB	5	1	3	0	8	2	9	7/14/92	SHANNON	359	59				
Lib	AC	5	7	8	6	2	4	1	7/28/98	SHIMADA	438	163				
	AD															
	AE															
	AF															
FOREIGN PATENT DOCUMENTS																
		Document Number								Date	Country	Class	Sub-class	Trans.		
		E	P	0	6	2	9	0	0	3					Yes	No
Lib	AG										12/14/94	EUROPEAN	H01L	29/784	X	
Lib	AH	G	B	2	3	2	6	0	1	9	12/9/98	GREAT BRITAIN	H01L	29/786	X	
Lib	AI	0	8	2	9	3	6	1	2	A	11/5/96	JAPAN	H01L	29/786	X	
Lib	AJ	0	7	1	3	1	0	2	7	A	5/19/95	JAPAN	H01L	29/786	X	
Lib	AK	0	6	3	3	3	9	4	1	A	12/2/94	JAPAN	H01L	21/336	X	
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)																
Lib	AL	"Structural fabrication using caesium chloride island arrays as resist in a fluorocarbon reactive ion etching plasma" by S. Tsuchiya, M. Green and R R A Syms in Electrochemical and Solid-State Letters 3, 44-46 (2000)														
Lib	AM	"Mesoscopic hemisphere arrays for use as resist in structure fabrication" by M Green and T J Tsuchiya, in Vac. Sci. and Tech. B17 2074-2083 (1999)														
Lib	AN	"Formation of silicon islands on a silicon on insulator substrate upon thermal annealing" by B Leggrand, V. Agache, J P Nys, V. Senes and D. Stievenard, in Appl. Phys. Lett., 76(22) 3271 (2000).														
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.																

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							Yes	No			
<i>HL</i>	AG	0 9 1 4 8 2 6 6 A	6/6/97	JAPAN	H01L	21/266	X				
	AH										
	AI										
	AJ										
	AK										

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)		
<i>HL</i>	AL	"Nanostructure array fabrication with a size-controllable natural lithography" by C. Haginoya, M. Ishibashi and K. Koike, in Appl. Phys. Lett., 71(20) 2934 (1999)
<i>HL</i>	AM	"Quantum pillar structures fabricated on n ⁺ gallium arsenide fabricated using 'natural' lithography" by M. Green, M. Gargia-Parajo, F. Khaleque and R. Murray, in Applied Physics Letters 63, 264-266 (1993).
<i>HL</i>	AN	"Micellar inorganic-polymer hybrid systems - a tool for nanolithography" by J P Spatz, T. Herzog, S.J.P. Spatz, T. Herzog, S. Mößner, P. Ziemann and M. Möller, in Advanced Materials 11(2), 149 (1999).
<i>hanna Schütz</i> 8/20/02		

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				Filing Date 3/5/82 CONCURRENTLY		Group 2813	

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FOREIGN PATENT DOCUMENTS													
		AG	AH	AI	AJ	AK	Document Number	Date	Country	Class	Sub-class	Trans.	
												Yes	No

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)	
[Signature] [Signature]	"Fabrication of quasi-zero-dimensional submicron dot array and capacitance spectroscopy in a GaAs/AlGaAs heterostructure", by H. Fang, R. Zeller and P. J. Stiles, in Applied Physics Letters, 55(14), 1433 (1989). "Fabrication of silicon cones and pillars using rough metal films as plasma etching masks", by K. Seeger and R.E. Palmer, in Applied Physics Letters, 74(11), 1627 (1999).
[Signature] AN	AN

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#4

Sheet 1 of 1

Form PTO-1449 COMMERCE (REV. 7-80)		U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE		Atty. Docket No. GB 010043		Serial No. 10/099,680									
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AA	6	1	4	0	1	6	4	10/31/00	ZHANG	438	163				
AB															
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AD															
AE															
AF															
FOREIGN PATENT DOCUMENTS															
		Document Number								Date	Country	Class	Sub- class	Trans.	
														Yes	No
AG	1	0	4	5	4	4	7	A	2	18/10/00	EUROPE	H01L	27/12	X	
AH															
AI															
AJ															
AK															
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)															
AL	Patent Abstracts of Japan, Dan Toru: "Semiconductor Device And Its Manufacture" Publication Number 0633394, 2/12/94, Application Number 05116856, 19/5/93														
AM															
AN															
Examiner		hanna Schults								Date Considered		1/20/03			
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